

**ALABAMA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT (ADEM)
FIELD OPERATIONS DIVISION NPDES INDIVIDUAL PERMIT APPLICATION
ADDENDUM FORM**

**SURFACE & UNDERGROUND MINERAL & ORE OR MINERAL PRODUCT MINING QUARRYING, EXCAVATION,
BORROWING, HYDRAULIC MINING, STORAGE, PROCESSING, PREPARATION, RECOVERY, HANDLING, LOADING,
STORING, OR DISPOSING ACTIVITIES AND ASSOCIATED AREAS INCLUDING PRE-MINING SITE DEVELOPMENT,
CONSTRUCTION, EXCAVATION, CLEARING, DISTURBANCE, RECLAMATION, AND ASSOCIATED AREAS**

COMPLETE ALL QUESTIONS. RESPOND WITH "N/A" AS APPROPRIATE. INCOMPLETE OR INCORRECT ANSWERS OR MISSING SIGNATURES WILL DELAY PROCESSING. IF SPACE IS INSUFFICIENT, CONTINUE ON AN ATTACHED SHEET(S) AS NECESSARY. COMMENCEMENT OF ACTIVITIES APPLIED FOR AS DETAILED IN THIS APPLICATION ARE NOT AUTHORIZED UNTIL PERMIT COVERAGE HAS BEEN ISSUED BY THE DEPARTMENT. PLEASE TYPE OR PRINT IN INK ONLY.

"P" Outfall Change: ☐ Other: ☐ NPDES AL _____

I. APPLICANT INFORMATION: Permit Transfer: ☐ Change of Officers: ☐ Change of Address: ☐ Information update: ☐

Company Name		Facility Name										
Responsible Official and Title		Facility Contact and Title										
Mailing Address of Applicant		Facility Contact Address										
City	State	Zip	City									
Business Phone Number		Fax Number	Facility Phone Number									
Responsible Official Street/Physical Address & Phone Number			Email Address									
Registered Agent Name, Address, & Phone Number												
<p>Identify the name, title/position, and residence address of each officer, partner, director, or person performing a function similar to a director, of the applicant, and each person who is the record or beneficial owner of 10 percent or more of any class of voting stock of the applicant, or any other responsible official(s) of the applicant with legal or decision making responsibility or authority for the facility:</p> <table style="width: 100%;"><thead><tr><th style="width: 25%;">Name</th><th style="width: 25%;">Title/Position</th><th style="width: 50%;">Residence Address (P.O. Box not acceptable)</th></tr></thead><tbody><tr><td>_____</td><td>_____</td><td>_____</td></tr><tr><td>_____</td><td>_____</td><td>_____</td></tr></tbody></table>				Name	Title/Position	Residence Address (P.O. Box not acceptable)	_____	_____	_____	_____	_____	_____
Name	Title/Position	Residence Address (P.O. Box not acceptable)										
_____	_____	_____										
_____	_____	_____										

II. OFFICER INFORMATION

Name of each corporation, partnership, association, and single proprietorship (other than applicant) having an Alabama NPDES permit at any time during the sixty (60) month period immediately preceding the date on which this form is signed for which any individual identified in Item I is or was an officer, partner, director, or individual performing a function similar to a director, or principal (10% or more) stockholder:

Name of corporation, partnership, association, or single proprietorship	Name of individual (from Item I)	Title/position in corporation, partnership, association, or single proprietorship
_____	_____	_____
_____	_____	_____

III. CURRENT NPDES PERMIT RECENT APPLICATION INFORMATION

If permit transfer, list company name and facility name of current permittee: _____

☐ Yes ☐ No A copy of the current permit, compliance history information, and the information used to complete the most recent application for issuance or modification of this NPDES permit has been obtained and reviewed.

If "No." Please explain: _____

☐ Yes ☐ No If "P" outfall deletion or upgradient relocation, a copy of the p outfall additional information addendum form is attached.

IV. LEGAL STRUCTURE OF APPLICANT

- ☐ Corporation ☐ Association ☐ Individual ☐ Single Proprietorship ☐ Partnership ☐ LLC
- ☐ Government Agency _____ ☐ Other _____ ☐ Other _____
- ☐ Yes ☐ No If not an Individual or Single Proprietorship, applicant is properly registered with the Alabama Secretary of State's office. If "No", please explain: _____

Parent Corporation and Subsidiary Corporations of Applicant, if any: _____

Mining Sub-contractor(s)/Operator(s), If Known: _____

V. COMPLIANCE HISTORY

Has the applicant ever had (If the response to any item is yes, attach a letter of explanation.):

- | | Yes | No | | Yes | No |
|--|--------------------------|--------------------------|---|--------------------------|--------------------------|
| (a) an Alabama NPDES-SID-UIC permit suspended or terminated? | <input type="checkbox"/> | <input type="checkbox"/> | (b) an Alabama license to mine suspended or revoked? | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) an Alabama or federal mining permit suspended or terminated? | <input type="checkbox"/> | <input type="checkbox"/> | (d) a reclamation bond, or similar security deposited in lieu of a bond, or portion thereof, forfeited? | <input type="checkbox"/> | <input type="checkbox"/> |
| (e) a bond or similar security deposited in lieu of a bond, or portion thereof, the purpose of which was to secure compliance with any requirement of the Alabama Water Improvement Commission or Alabama Department of Environmental Management, forfeited? | <input type="checkbox"/> | <input type="checkbox"/> | | | |

Identify every Warning Letter, Notice of Violation (NOV), Administrative Action, Directive, or litigation filed by ADEM or EPA during the three year (36 months) period preceding the date on which this form is signed issued to the applicant, parent corporation, subsidiary, or LLC Member. Indicate the date of issuance, briefly describe alleged violations, list actions (if any) to abate alleged violations, and indicate date of final resolution:

VI. OTHER PERMITS/AUTHORIZATIONS

- 1) List any other NPDES or other environmental permits, authorizations, or certifications that have been applied for or issued within the State by ADEM, EPA, Alabama Surface Mining Commission (ASMC), Alabama Department of Industrial Relations (ADIR), or other Agency, to the applicant, parent corporation, subsidiary, or LLC member for this facility whether presently effective, expired, suspended, or revoked (include permit numbers):
- 2) List any other NPDES or other ADEM permits, authorizations, or certifications that have been applied for or issued within the State by ADEM, EPA, ASMC, or ADIR, to the applicant, parent corporation, subsidiary, or LLC member for other facilities whether presently effective, expired, suspended, or revoked (include permit numbers):

VII. RESPONSIBLE OFFICIAL SIGNATURE

This application must be signed by a Responsible Official of the applicant who is the owner, the sole proprietor of a sole proprietorship, a general member or partner, a ranking elected official or other duly authorized representative for a unit of government; or an executive officer of at least the level of vice-president for a corporation, having overall responsibility for the operation of the facility.

"I certify under penalty of law that this document and all other attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the PE and other person or persons under my supervision who manage the system or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine or imprisonment for knowing violations."

I further certify that this form has not been altered, and if copied or reproduced, is consistent in format and identical in content to the ADEM approved form.

Name (type or print) _____ Official Title _____

Signature _____ Date Signed _____